

12/19/01  
JC961 U.S. PTO

12-26-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

Beiersdorf 758-WCG

First Inventor

Dr. Ghita LANZENDÖRFER

Title

[SEE APPENDIX]

Express Mail Label No.

EV 015941067US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 33]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets ]
5. Oath or Declaration [Total Pages ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: Appendix

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  
 Continuation    Divisional    Continuation-in-part (CIP)   of prior application No. /

Group / Art Unit \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

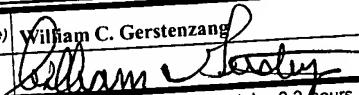
## 19. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Labelor  Correspondence address below

Name	William C. Gerstenzang PATENT TRADEMARK OFFICE				
Address	NORRIS, MC LAUGHLIN & MARCUS, P.A. 220 East 42nd Street, 30th Floor				
City	New York	State	New York	Zip Code	10017
Country	United States	Telephone	212-808-0700	Fax	212-808-0844

Name (Print/Type) William C. Gerstenzang

Registration No. (Attorney/Agent) 27,552

Signature 

Date December 19, 2001

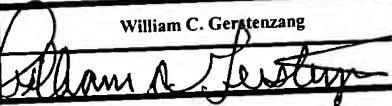
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<b>FEE TRANSMITTAL</b> <b>for FY 2002</b>		<b>Complete if Known</b>	
Patent fees are subject to annual revision.		Application Number	To Be Assigned
		Filing Date	Herewith
		First Named Inventor	Dr. Chita LANZENDÖRFER
		Examiner Name	To Be Assigned
		Group Art Unit	To Be Assigned
		Attorney Docket No.	Beiersdorf 758-WCG
<b>TOTAL AMOUNT OF PAYMENT</b>		<b>\$740.00</b>	

<b>METHOD OF PAYMENT</b>		<b>FEE CALCULATION (continued)</b>																																																																																																																																																											
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <b>14-1263</b></p> <p>Deposit Account Name <b></b></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR § 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:  <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p><b>3. ADDITIONAL FEES</b></p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65 Surcharge - late filing fee or oath</td> <td><input type="checkbox"/></td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25 Surcharge - late provisional filing fee or cover sheet</td> <td><input type="checkbox"/></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130 Non - English specification</td> <td><input type="checkbox"/></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520 For filing a request for ex parte reexamination</td> <td><input type="checkbox"/></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920* Requesting publication of SIR prior to Examiner action</td> <td><input type="checkbox"/></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840* Requesting publication of SIR after Examiner action</td> <td><input type="checkbox"/></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55 Extension for reply within first month</td> <td><input type="checkbox"/></td> </tr> <tr> <td>116</td> <td>400</td> <td>216</td> <td>200 Extension for reply within second month</td> <td><input type="checkbox"/></td> </tr> <tr> <td>117</td> <td>920</td> <td>217</td> <td>460 Extension for reply within third month</td> <td><input type="checkbox"/></td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218</td> <td>720 Extension for reply within fourth month</td> <td><input type="checkbox"/></td> </tr> <tr> <td>128</td> <td>1,960</td> <td>228</td> <td>980 Extension for reply within fifth month</td> <td><input type="checkbox"/></td> </tr> <tr> <td>119</td> <td>320</td> <td>219</td> <td>160 Notice of Appeal</td> <td><input type="checkbox"/></td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>160 Filing a brief in support of an appeal</td> <td><input type="checkbox"/></td> </tr> <tr> <td>121</td> <td>280</td> <td>221</td> <td>140 Request for oral hearing</td> <td><input type="checkbox"/></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510 Petition to institute a public use proceeding</td> <td><input type="checkbox"/></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55 Petition to revive - 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late filing fee or oath	<input type="checkbox"/>	127	50	227	25 Surcharge - late provisional filing fee or cover sheet	<input type="checkbox"/>	139	130	139	130 Non - English specification	<input type="checkbox"/>	147	2,520	147	2,520 For filing a request for ex parte reexamination	<input type="checkbox"/>	112	920*	112	920* Requesting publication of SIR prior to Examiner action	<input type="checkbox"/>	113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	<input type="checkbox"/>	115	110	215	55 Extension for reply within first month	<input type="checkbox"/>	116	400	216	200 Extension for reply within second month	<input type="checkbox"/>	117	920	217	460 Extension for reply within third month	<input type="checkbox"/>	118	1,440	218	720 Extension for reply within fourth month	<input type="checkbox"/>	128	1,960	228	980 Extension for reply within fifth month	<input type="checkbox"/>	119	320	219	160 Notice of Appeal	<input type="checkbox"/>	120	320	220	160 Filing a brief in support of an appeal	<input type="checkbox"/>	121	280	221	140 Request for oral hearing	<input type="checkbox"/>	138	1,510	138	1,510 Petition to institute a public use proceeding	<input type="checkbox"/>	140	110	240	55 Petition to revive - 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\*\*or number previously paid, if greater; For Reissues, see above

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>			
Name (Print/Type)	William C. Gerstenzang	Registration No. (Attorney/Agent)	27,552	Telephone	212-808-0700
Signature			Date	December 19, 2001	

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200-195

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Date of Deposit December 19, 2001

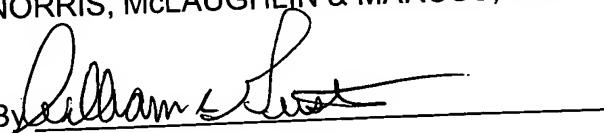
I hereby certify that the following items:

1. Utility Patent Application Transmittal
2. Fee Transmittal in duplicate - **CHARGE DEPOSIT ACCOUNT**
3. Application consisting of:  
Description - pgs. 1-31; Claims - pg. 32; Abstract - page 33
4. Preliminary Amendment (annexing marked-up claims as amended)
5. Information Disclosure Statement
6. Form PTO-1449, citing and attaching ten (10) references
7. Copy of German Search Report
8. Transmittal of Priority Document
9. Certified Priority Document No. 100 65 046.5
10. Appendix citing Title, List of Inventors, Claim to Priority, and  
Certificate of Mailing
11. Return Receipt Post Card

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Patent Application.

NORRIS, McLAUGHLIN & MARCUS, P.A.

By



## APPENDIX

Beiersdorf 758-WCG  
200-195

**TITLE:** O/W EMULSIONS CONTAINING ONE OR MORE AMMONIUM ACRYLOYLDIMETHYLTAURATE/ VINYL PYRROLIDONE COPOLYMERS

### LIST OF INVENTORS:

1. Dr. Ghita LANZENDÖRFER
2. Angelika BORMANN
3. Jens NIELSEN
4. Birgit HARGENS
5. Heidi RIEDEL
6. Stephanie von THADEN

### CLAIM TO PRIORITY:

Priority is hereby claimed under 35 U.S.C. 119 on the basis of German Application Serial No. 100 65 046.5, filed on December 23, 2000.

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Date of Deposit: December 19, 2001

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NORRIS, McLAUGHLIN & MARCUS, P.A.

By: William C. Lewis